# 99-27-07 PART B - FEE(S) TRANSMITTAL

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appropriate. All further	correspondence includi- ted below or directed of	ng the Patent, advance of	orders and notification of	maintenance fees wil	I be mailed to the current	hould be completed where correspondence address as arate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.		
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•		/ SE,	\$\$P			(Signature)
		MENTETR	ADE			(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	₹ <i>F</i>	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/808,839 03/25/2004			Stephen Strickland		EMC04-01(04011) 6718	
TITLE OF INVENTION	I: TECHNIQUES FOR M	MAINTAINING OPERA	TION OF DATA STORA	GE SYSTEM DURIN	G A FAILURE	<del></del>
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	10/03/2007
EXAMINER		ART UNIT	CLASS-SUBCLASS	09/28/2007 CNEGA2 00000017 10808839		0808839
BONURA, TIMOTHY M		2114	714-015000	01 FC:1501 1400.00 OP 02 FC:1504 300.00 OP		1400.00 OP
1. Change of correspondence address or indication of "Fee Address" (37			2. For printing on the	the patent front page. Hist 30. AA AD		
CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.			(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,			
"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.			
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or ty	pe)		<del> </del>
PLEASE NOTE: Unl recordation as set fort	less an assignee is ident h in 37 CFR 3.11. Comp	ified below, no assignee pletion of this form is NO	data will appear on the p T a substitute for filing an	oatent. If an assignee assignment.	is identified below, the de	ocument has been filed for
(A) NAME OF ASSIGNEE (B) RESIDE				and STATE OR CO	UNTRÝ)	
EMC Corporation Hopkinton, MA						
Please check the appropr	iate assignee category or	categories (will not be pr	rinted on the patent) :	Individual 🖾 Corp	poration or other private gro	oup entity Government
4a. The following fee(s)	are submitted:	41	b. Payment of Fee(s): (Plea	ase first reapply any	previously paid issue fee	shown above)
Issue Fee			A check is enclosed.			
	lo small entity discount p	permitted)	Payment by credit card. Form PTO-2038 is attached.			
Advance Order - #	# of Copies10		The Director is hereby overpayment, to Depo	y authorized to charge osit Account Number	the required fee(s), any de 50-3661 (enclose a	ficiency, or credit any n extra copy of this form).
5. Change in Entity Stat						
= =	s SMALL ENTITY statu d Publication Fee (if requ				ENTITY status. See 37 Clered attorney or agent; or the	FR 1.27(g)(2). ne assignee or other party in
interest as shown by the r	records of the United Sta	tes Patent and Trademark	Office.			
Authorized Signature		UE114-	7	Date Sep	tember 26, 2	007

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Typed or printed name \_

David E. Huang, Esq.

39,229

Registration No. \_\_\_



DATE OF NOTICE OF ALLOWABILITY: July 3, 2007

ATTORNEY DOCKET NO.: EMC04-01

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Stephen Strickland, John V. Burroughs, and Timothy Dorr

Serial No.:

10/808,839

Title:

TECHNIQUES FOR MAINTAINING OPERATION OF A DATA

STORAGE SYSTEM DURING A FAILURE

Filing Date:

March 25, 2004

Examiner:

Timothy M. Bonura

Art Unit:

2114

Conf. No.:

6718

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: MAIL STOP ISSUE FEE, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on:

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#### MAIL STOP ISSUE FEE

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## TRANSMITTAL LETTER

Sir:

Enclosed is/are:

U.S. Application No.: 10/808,839 Attorney Docket No.: EMC04-01

- 2 -

- [x] Transmittal Letter (this form, 2 pages, in duplicate), Total Pages: 4;
- [x] Part B Issue Fee Transmittal (1 page, in duplicate), Total Pages: 2
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;
- [x] Authorization to charge Deposit Account No. 50-3661;
- [x] Check in the amount of \$1,730.00 to cover Issue Fee, Publication Fee and Advance Order of 10 Copies.

Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. <u>50-3661</u>.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 616-2900, in Westborough, Massachusetts.

Respectfully submitted,

David E. Huang, Esq. Attorney for Applicants Registration No.: 39,229

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Attorney Docket No.: EMC04-01

Dated: September 26, 2007